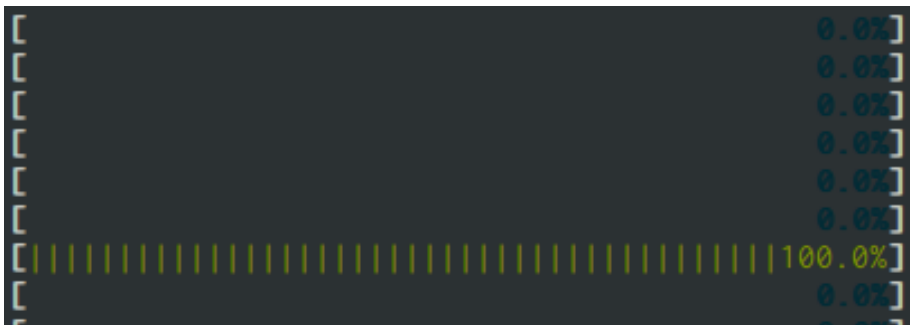
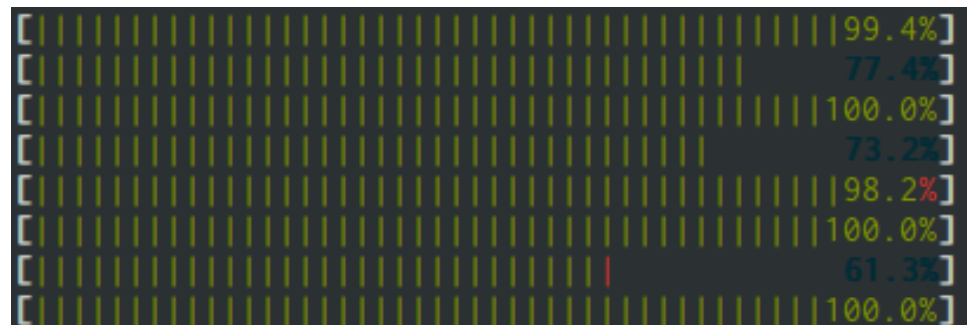


# PaSh: A parallelizing shell

or how to get from this:



to this:



# Joint work with:

And many others (in alphabetical order):



Nikos Vasilakis



Achilles Benetopoulos



Lazar Cvetkovic



Thurston Dang



Michael Greenberg



Shivam Handa



Kostas Mamouras



Tammam Mustafa



Radha Patel



Martin Rinard

shell

# Used

```
#!/usr/bin/...
# TODO
# w
P

... loop as the one in execute_evaluation_scripts
microbenchmark=${flags[@]}
echo "Executing test: $microbenchmark"
# Execute the sequential script on the first run only
exec_seq="-s"
for n_in in "${n_inputs[@]}"; do
  echo "Number of inputs: ${n_in}"
  ## Generate the intermediary script
  python3 generate_microbenchmark_intermediary_scripts.py \
    $microbenchmarks_dir $microbenchmark $n_in $intermediary_dir "test"
  for flag in "${flags[@]:1}"; do
    echo "Flag: ${flag}"
    ## Execute the intermediary script
    ./execute_evaluation_script "${microbenchmark}" "${n_in}" "test_results" "test_" > /dev/null 2>&1
    rm -f /tmp/eager*
  done
done
exec_seq=""

...
execute_tests "" "${script_microbenchmarks[@]}"
execute_tests "-c" "${pipeline_microbenchmarks[@]}"
echo "Below follow the identical outputs:"
grep --files-with-match "are identical" ../evaluation/results/test_results

echo "Below follow the non-identical outputs:"
grep -L "are identical" ../evaluation/results/test_results

TOTAL_TESTS=$(ls -la ../evaluation/results/.. | wc -l)
PASSED_TESTS=$(grep --files-with-match "are identical" ../evaluation/results/test_results | wc -l)
echo "Summary: ${PASSED_TESTS}/${TOTAL_TESTS} tests passed"
```

id}'

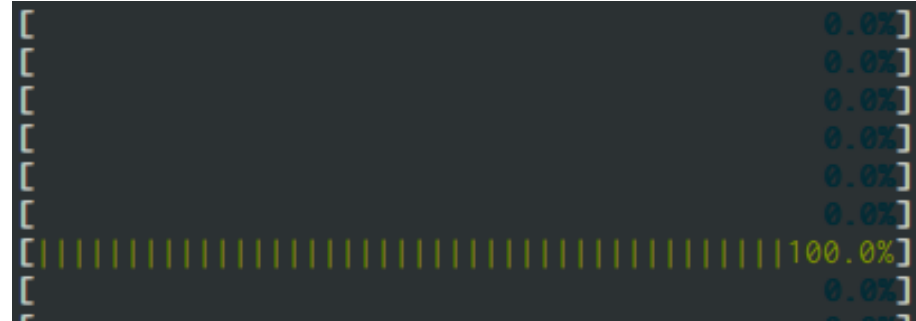
ver}')

.."

.."

# But ...

Shell scripts are mostly sequential!



Parallelizing requires a lot of manual effort:

- Using specific command flags (e.g., `sort -p`, `make -jN`)
- Using semi-automatic restricted parallelization tools (e.g., GNU parallel)
- Rewriting parts of a script in languages that support parallelism (e.g. Erlang)



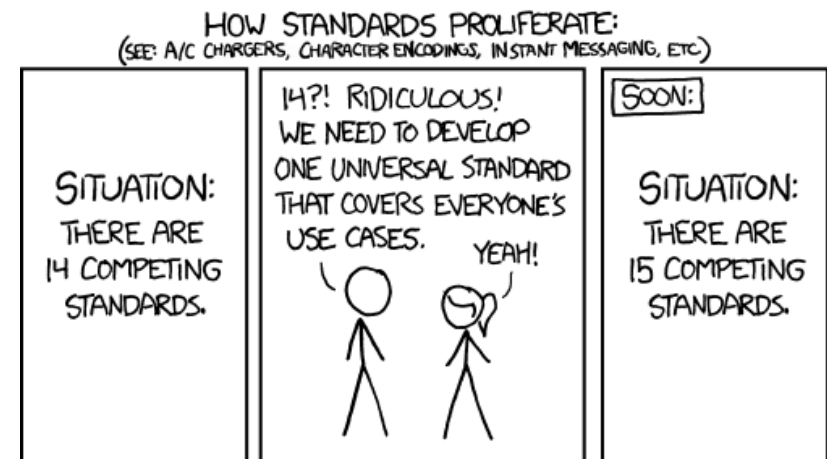
What did we do to deserve this??? :'(

PaSh

# PaSh

A JiT shell2shell compiler that:

- exposes latent data parallelism in shell scripts
- is a lightweight layer on top of bash
  - Executes the optimized version of the script on bash
  - Negligible slowdown for non parallelizable scripts
  - Correctness w.r.t. bash without implementing a new shell



Not so fast!!!

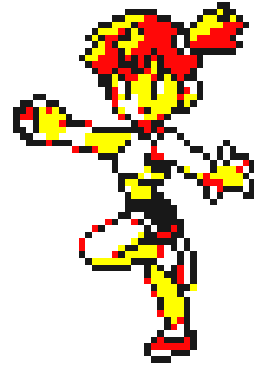




We have to go through them!!!



Subtle Parallelism



Arbitrary black-box  
commands

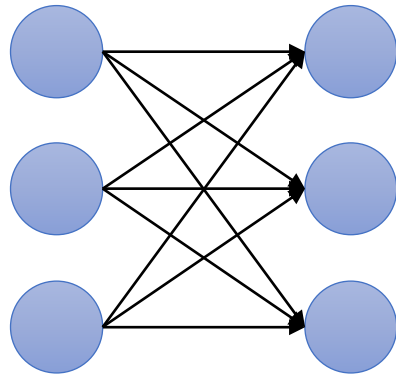


Lack of static  
information

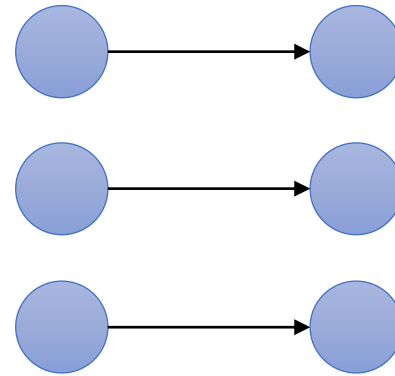
# Challenge: Shell Data Parallelism is Subtle



- Parallel frameworks such as MapReduce or Spark
  - Either require commutativity
  - Or key-by independence to achieve parallelism



Round Robin



Partition By Key

# Challenge: Shell Data Parallelism is Subtle



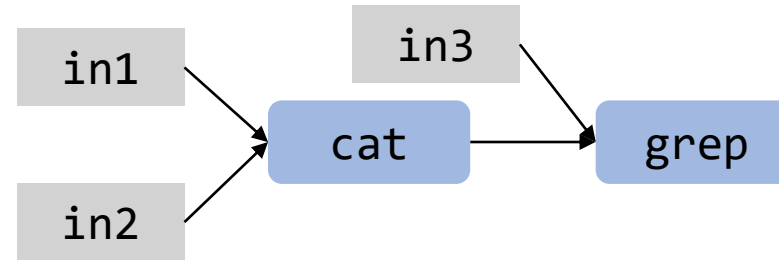
- Data parallelism in the shell is trickier
  - Commutativity and independence based on key is rare
- Commands most often read from their inputs in sequence
  - This order matters for the output
  - For example, `grep "foo" in1 - in2` reads in1, its stdin, and then in2
- We need a model that captures a parallelizable subset of the shell
  - That also captures order of command input consumption

# Solution: Order-aware dataflow model



- The following pipeline would be translated to:

```
cat in1 in2 | grep "foo" in3 -
```



- Model defines a shell fragment with no scheduling constraints
  - Intuitively: commands composed with `&`, `|`
- The expressiveness allows us to define a bidirectional correspondence between:
  - Shell programs in this fragment
  - Dataflow graphs in our model

# Solution: Order-aware dataflow model



- On the graph we have defined semantics preserving transformations.

• M

$$\frac{\text{cmd2node}(w, \bar{x}_o \leftarrow f(\bar{x}_i)) \quad \text{add\_metadata}(f, \bar{a}s, \bar{r}) = f' \quad \text{redir}(\bar{x}_o, \bar{x}_i, \bar{r}, \bar{x}'_o, \bar{x}'_i)}{\bar{a}s\bar{w}\bar{r} \uparrow \langle \text{input}\bar{x}'_i; \text{output}\bar{x}'_o; \bar{x}'_o \leftarrow f'(\bar{x}'_i), fg \rangle} \quad \text{COMMANDTRANS}$$

$$\frac{\text{cmd2node}(w, \perp)}{\bar{a}s\bar{w}\bar{r} \uparrow \bar{a}s\bar{w}\bar{r}} \quad \text{COMMANDID} \qquad \frac{c \uparrow \langle p, b \rangle}{c\& \uparrow \langle p, bg \rangle} \quad \text{BACKGROUNDDFG} \qquad \frac{c \uparrow c'}{c\& \uparrow c'\&} \quad \text{I}$$

$$\frac{c_1 \uparrow \langle p_1, bg \rangle \quad c_2 \uparrow \langle p_2, b \rangle}{c_1; c_2 \uparrow \langle \text{compose}(p_1, p_2), b \rangle} \quad \text{SEQBOTHBG} \qquad \frac{c_1 \uparrow c'_1 \quad c_2 \uparrow \langle p_2, bg \rangle \quad \text{opt}(p_2) \Downarrow c'_2}{c_1; c_2 \uparrow c'_1; (c'_2\&)} \quad \text{S}$$

$$\frac{c_1 \uparrow \langle p_1, fg \rangle \quad c_2 \uparrow \langle p_2, bg \rangle \quad \text{opt}(p_1) \Downarrow c'_1 \quad \text{opt}(p_2) \Downarrow c'_2}{c_1; c_2 \uparrow c'_1; (c'_2\&)} \quad \text{SEQBOTHBG}$$

$$\frac{c_1 \uparrow c'_1 \quad c_2 \uparrow \langle p_2, fg \rangle \quad \text{opt}(p_2) \Downarrow c'_2}{c_1; c_2 \uparrow c'_1; c'_2} \quad \text{SEQRIGHTFG} \qquad \frac{c_1 \uparrow c'_1 \quad c_2 \uparrow c'_2}{c_1; c_2 \uparrow c'_1; c'_2} \quad \text{SEQNONE}$$

$$\frac{c_1 \uparrow \langle p_1, b_1 \rangle, \dots, c_n \uparrow \langle p_n, b_n \rangle, \quad p'_1 \dots p'_{n-1} = \text{map}(\text{connectpipe}, p_1 \dots p_{n-1}) \quad p = \text{fold\_left}(\text{compose}, p'_1 p'_2 \dots p'_{n-1} p_n)}{\text{pipe}|c_1 c_2 \dots c_n \& \uparrow \langle p, bg \rangle} \quad \text{PIPEBG} \qquad \frac{c_1 \uparrow \langle p_1, b_1 \rangle, \dots, c_n \uparrow \langle p_n, b_n \rangle, \quad p'_1 \dots p'_{n-1} = \text{map}(\text{connectpipe}, p_1 \dots p_{n-1}) \quad p = \text{fold\_left}(\text{compose}, p'_1 p'_2 \dots p'_{n-1} p_n)}{\text{pipe}|c_1 c_2 \dots c_n \uparrow \langle p, fg \rangle} \quad \text{I}$$

Figure 5. A subset of the compilation rules.

• In

- Can be parallelized by applying the map on
- And then applying the aggregate

$$\frac{x_j \leftarrow \text{Unused}(I, O, \mathcal{E}), \mathcal{E}' = \mathcal{E}[x_j/x_i]}{I, O, \mathcal{E} \iff I, O, \mathcal{E}' \cup \{x_j \leftarrow \text{relay}(x_i)\}} \quad \text{RELAY}$$

$$\frac{x_s, x'_s \leftarrow \text{Unused}(I, O, \mathcal{E}), E = \{x_s, x'_s \leftarrow \text{split}(x), \langle x_1, \dots, x_k \rangle \leftarrow \text{split}(x_s), \langle x_{k+1}, \dots, x_m \rangle \leftarrow \text{split}(x'_s)\}}{I, O, \mathcal{E} \cup \{x_1, \dots, x_m \leftarrow \text{split}(x)\} \iff I, O, \mathcal{E} \cup E} \quad \text{SPLIT-SPLIT}$$

$$\frac{x_c, x'_c \leftarrow \text{Unused}(I, O, \mathcal{E}), E = \{x_c \leftarrow \text{cat}(\langle x_1, \dots, x_k \rangle), x'_c \leftarrow \text{cat}(\langle x_{k+1}, \dots, x_m \rangle), x \leftarrow \text{cat}(\langle x_c, x'_c \rangle)\}}{I, O, \mathcal{E} \cup \{x \leftarrow \text{cat}(\langle x_1, \dots, x_m \rangle)\} \iff I, O, \mathcal{E} \cup E} \quad \text{CONCAT-CONCAT}$$

$$\frac{\bar{x} \leftarrow \text{Unused}(I, O, \mathcal{E}), E = \{\bar{x} \leftarrow \text{split}(x_j), x_i \leftarrow \text{cat}(\bar{x})\}}{I, O, \mathcal{E} \cup \{x_i \leftarrow \text{relay}(x_j)\} \iff I, O, \mathcal{E} \cup E} \quad \text{SPLIT-CONCAT}$$

$$\frac{x_1^u, x_1^d, x_2^u, x_2^d, \dots, x_n^u, x_n^d \leftarrow \text{Unused}(I, O, \mathcal{E}), \quad E = \{x_1^u, x_1^d \leftarrow \text{tee}(x_1), x_2^u, x_2^d \leftarrow \text{tee}(x_2), \dots, x_n^u, x_n^d \leftarrow \text{tee}(x_n), \quad x_o \leftarrow \text{cat}(x_1^u, x_2^u, \dots, x_n^u), x'_o \leftarrow \text{cat}(x_1^d, x_2^d, \dots, x_n^d)\}}{I, O, \mathcal{E} \cup \{x \leftarrow \text{cat}(x_1, x_2, \dots, x_n), \langle x_o, x'_o \rangle \leftarrow \text{tee}(x)\} \iff I, O, \mathcal{E} \cup E} \quad \text{TEE-CONCAT}$$

$$\frac{x \leftarrow \text{Unused}(I, O, \mathcal{E}), \quad \bar{x}_i = \langle x_1, x_2, \dots, x_n \rangle, \bar{x}_j = \langle x'_1, x'_2, \dots, x'_n \rangle, E = \{x'_1 \leftarrow \text{relay}(x_1), x'_2 \leftarrow \text{relay}(x_2), \dots, x'_n \leftarrow \text{relay}(x_n)\}}{I, O, \mathcal{E} \cup \{x \leftarrow \text{cat}(\bar{x}_i), \bar{x}_j \leftarrow \text{split}(x)\} \iff I, O, \mathcal{E} \cup E} \quad \text{CONCAT-SPLIT}$$

$$\frac{}{I, O, \mathcal{E} \cup \{x_j \leftarrow \text{cat}(x_i)\} \iff I', O, \mathcal{E}' \cup \{x_j \leftarrow \text{relay}(x_i)\}} \quad \text{ONE-CONCAT}$$

$$\frac{}{I, O, \mathcal{E} \cup \{x_j \leftarrow \text{split}(x_i)\} \iff I', O, \mathcal{E}' \cup \{x_j \leftarrow \text{relay}(x_i)\}} \quad \text{ONE-SPLIT}$$

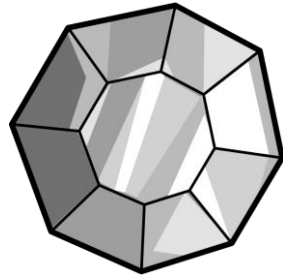
aggregate

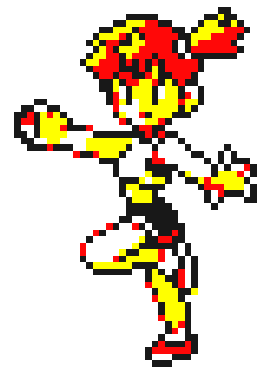
- Auxiliary transformations enable parallelization by inserting cat + split.

Proofs in the paper!



Subtle Parallelism  
was defeated !!!

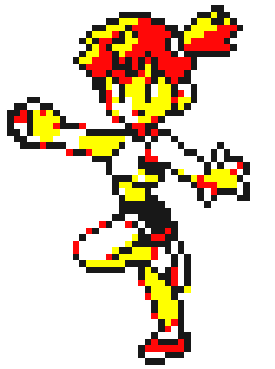




# Challenge: Arbitrary black-box commands

- Restricted programming frameworks (MapReduce, Spark, etc)
  - offer a limited set of constructs
  - can be easily mapped to a dataflow abstraction
- The shell is used to compose:
  - arbitrary commands
  - written in arbitrary languages
  - and are updated (or modified) over time
- This makes automated analysis infeasible
- Any one-time effort quickly obsolete and useless.

# Solution: Node Correspondence Framework

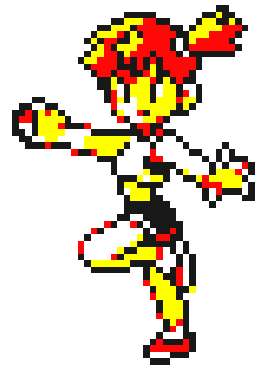


Users describe how to:

- Map a command to a dataflow node (if possible)
  - Inputs, outputs, parallelizability from arguments
- How to map a dataflow node to a command
  - Instantiating command arguments from inputs, outputs, metadata
- This is achieved by defining two python functions
- Developer instantiates correspondence **once** for each command
  - The goal is for this to be used by command developers or other experts
  - Library of correspondence can be inspected and shared

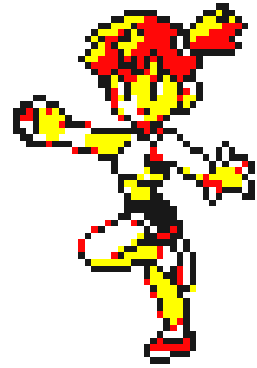


# Solution: Node Correspondence Framework

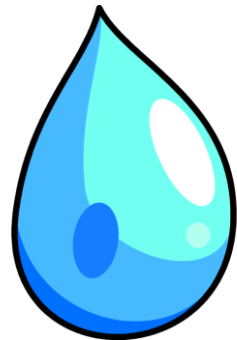


- Many commands have restricted, well-defined behavior
- Designed an annotation language
  - Annotation uniquely defines the two correspondence functions
  - Language guided by study of POSIX and GNU Coreutils
- Part of annotation for cat:
- Defined annotations for 53 commands
- More details in our EuroSys 21 paper

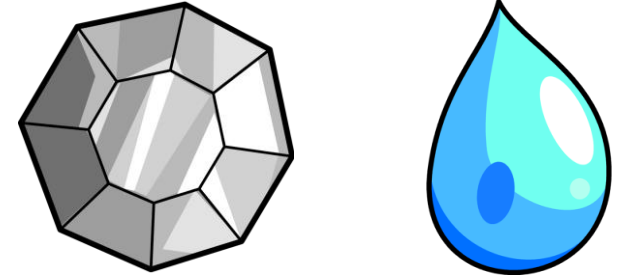
```
{
  "command": "cat",
  "cases": [
    ...,
    {
      "predicate": "default",
      "class": "parallelizable",
      "aggregator": "cat",
      "inputs": ["args[:]"],
      "outputs": ["stdout"]
    }
  ]
}
```



Arbitrary black-box  
commands  
were defeated !!!

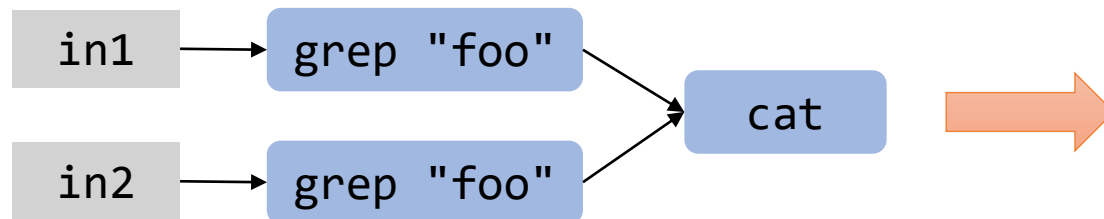


# Combining the first 2 badges



- Compiler:
  - Given a shell script
  - Compiles it to a dataflow graph if possible
  - Applies parallelizing transformations
  - Compiles it back to a shell script
- Piggybacking on the shell to execute the parallel script

For more details see our talk on EuroSys 21 next week!



```
mkfifo /tmp/t1 /tmp/t2
grep "foo" in1 > /tmp/t1 &
grep "foo" in2 > /tmp/t2 &
cat /tmp/t1 /tmp/t2 &
wait
rm -f /tmp/t1 /tmp/t2
```



# Challenge: Lack of Static information

- Shell execution depends on several dynamic components:
  - File system
  - Current directory
  - Environment variables
  - Unexpanded strings

```
cat $DIR/* | tr A-Z a-z | tr -cs A-Za-z '\n' | # (spell)
sort | uniq | comm -13 $DICT -
```

- Very difficult to have a static parallelization procedure that is both:
  - Sound
  - *Somewhat* effective



# Solution: JiT compilation process

- PaSh switches between interpretation and compilation
  - Calling the compiler as late as possible
- Provides critical information to the compiler:
  - State of shell
  - Variables
  - Directory
  - Files and even their contents(!)



# Solution: JiT compilation process

- Preprocessor:
  - Parses script
  - Performs analysis to find potential dataflow regions
  - Replaces potential DFG regions with calls to runtime
  - Unparses script
  - Executes it with bash

```
cat $DIR/* | tr A-Z a-z | tr -cs A-Za-z '\n' |  
    sort | uniq | comm -13 $DICT - > out ;  
cat out | wc -l | sed 's/$/ misspelled words!/'
```



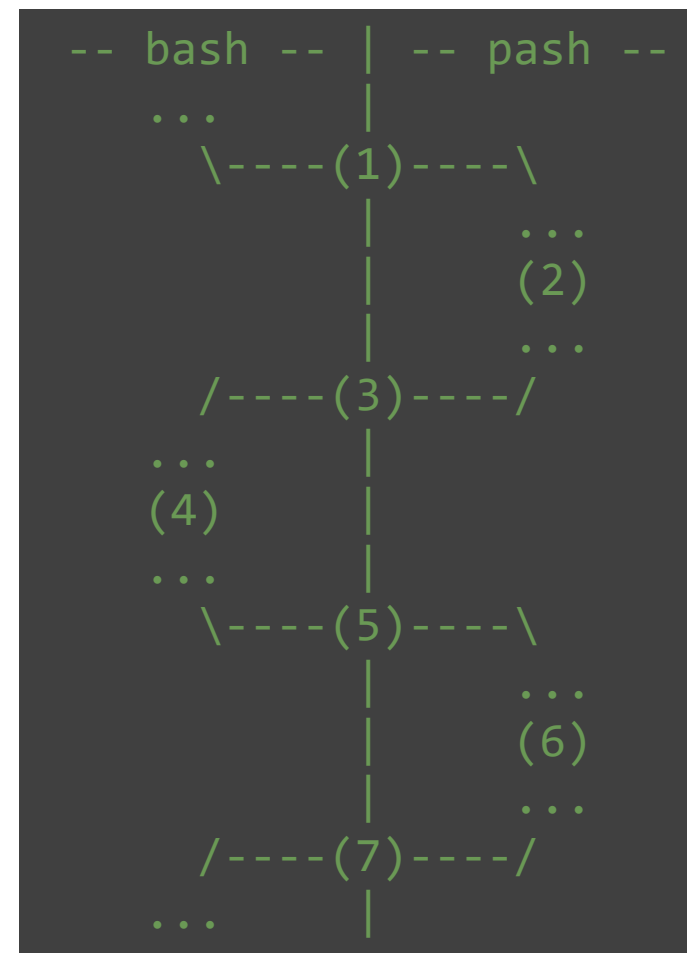
```
source pash_runtime.sh /tmp/pash_ast.TZDAyhVaFr ;  
source pash_runtime.sh /tmp/pash_ast.PDmnT7PUug
```

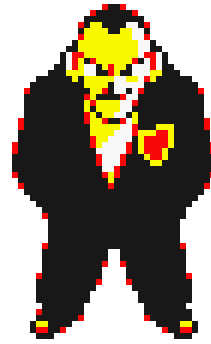


# Solution: JiT compilation process

Runtime is just a shell script:

- 1) Save shell state and set pash default state
  - E.g., variables, previous exit code, etc
- 2) Call the parallelizing compiler
  - Providing information about the current state
- 3) Revert the shell state
- 4) If the compiler has succeeded:
  - Run the produced parallel script
  - Else run the original script
- 5) Save shell state and set pash default state
- 6) Finish up pash work
  - E.g., measure (4) exec time
- 7) Revert shell state



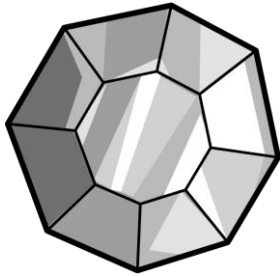


Lack of static  
information  
was defeated !!!

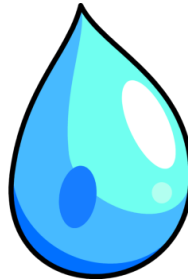




# Combining all 3 badges



Order-aware  
Dataflow model



Node Correspondence  
Framework



Just in Time  
compilation

Demo Time

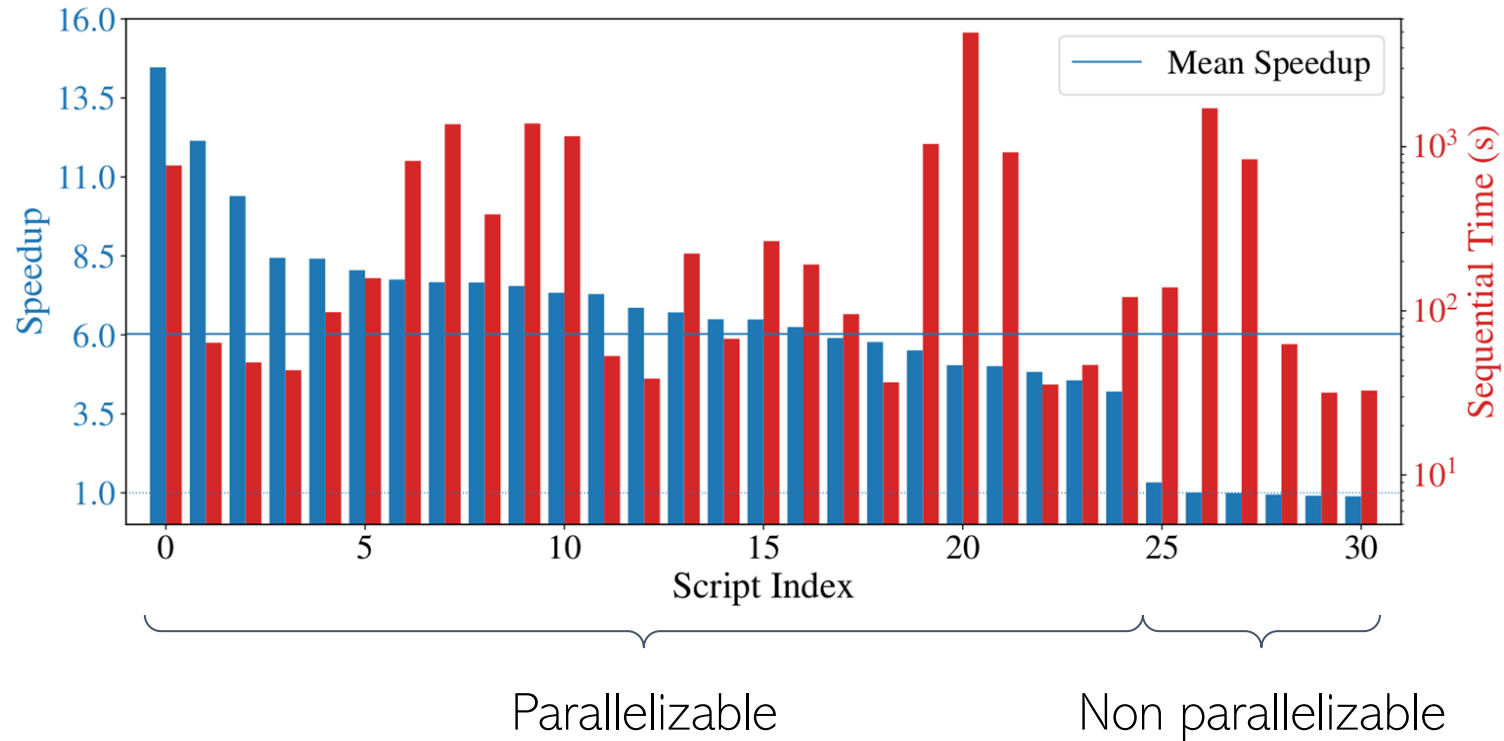
Evaluation

# Evaluation

Two aspects:

- Performance Evaluation
- Preliminary Correctness Evaluation

# Pipelines in the wild



+ PaSh awareness goes a long way!

```
e.g. #26 { cat $IN6 | awk '{print $2, $0}' | sort -nr | cut -d ' ' -f 2 (1.01x)
          { cat $IN6 | sort -nr -k2 | cut -d ' ' -f 1 (8.1x !!1!1)
```

# Case Study: NOAA Weather Analysis

82GB (5y weather data)

Hadoop only focuses on this part

fetch, preprocess, cleanup, filter

calculate

bash

33m58s

10m4s

pash -w 16

16m39s

49s

2.04x

speedup for  
preprocessing

12.31x

speedup for  
preprocessing

2.52x

combined speedup  
for the full program

This part is not the focus of traditional parallelization frameworks but parallelizing it has the biggest impact

# Preliminary correctness evaluation (WIP)

- Smoosh [2] test suite
  - Comprehensive POSIX shell test suite

- Started from the bottom:

```
XXXXXXXXXXXX.XXXXXXXXXX.XX..XXXXXXXXXXXX.X.XXX.XXXXXXXXXX.XXXX...XX.XXXXXXXXXX
XX.XXXX.XX..XXXXXXXXXXXXXXXXXXXXXXXXXX.XXXXXXXXXXX.XXXXXXX.XXXXXXX.XXXXXXXXXX
XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX
shell_tests.sh: 20/174 tests passed
```

- Now we are here:

```
XXX.X...X.XXXX...X..X..X..X.XXXX.....XX..XX.X.....X.....X.XX.XX.X.
.XX.X.X.....XX.XX..XX.....XXXXX..XX.X...X.X.....X.....X...XXX
XXXX.....X.XX.X..XXXXX..XXXX.XXXXX
shell_tests.sh: 98/174 tests passed
```

- Meanwhile bash:

```
..X.X...X.XXXX...X.....X..X..XXX.....X..XX.X.....X.....X.XX.XX.X.
.X..X.X.....X.....X.....X.....X.....X.....X.....X.....X
.XX.....X..X.....X..X.
shell_tests.sh: 136/174 tests passed
```

[2] Greenberg, Michael, and Austin J. Blatt. "Executable formal semantics for the POSIX shell." POPL. 2019.

Conclusion



# Discussion

- Shell scripts have mostly escaped the PL community attention
  - Some notable exceptions: Smoosh [2], dgsh [3], Shark [4]
- This is mostly because:
  - 1) Commands have arbitrary behaviors and cannot be easily analyzed
  - 2) Shell's dynamic nature makes static analysis incorrect or ineffective
  - 3) Shell semantics is **BLACK MAGIC**
- Recent work [2] addressed (3)
- PaSh makes a step towards addressing (1) and (2)
  - Enabling further study of the performance and correctness of shell scripts

[2] Greenberg, Michael, and Austin J. Blatt. "Executable formal semantics for the POSIX shell." POPL. 2019.

[3] D. Spinellis and M. Fragkoulis, "Extending Unix Pipelines to DAGs," in *IEEE Transactions on Computers*. 2017.

[4] Berger, Emery D. "Optimizing Shell Scripting Languages." 2003.

# Thank you :)

- PaSh is open source
- Upcoming talk at EuroSys next week (ask me for preprint)
- Upcoming HotOS paper and panel on the future of the shell
- More exciting research on the shell on its way!